



INDIANA DEPARTMENT OF TRANSPORTATION
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JOSEPH E. KERNAN, Governor
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July 13, 2004

MEMORANDUM

TO: INDOT Contractors

From: Richard Smutzer
Chief Engineer

Subject: Ethics Compliance Certification

On April 27, 2004 Governor Kernan issued a series of Executive Orders strengthening state ethics policy to maximize efficiency and integrity of state government. Section 6 of Executive Order 04-08 requires that a standard ethics clause be inserted in all contracts and grant agreements by covered state agencies. This clause allows the state to terminate its contract with any vendor who violates its ethical obligations. Therefore the attached Ethics Compliance Certification shall be included in all future INDOT contracts.

RKS:MRB:blb

Cc: R.K.Smutzer
Anne O'Connor
Dennis Kuchler
ICI-Charlie Kahl
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ACPA-Indiana Chapter-Mike Byers
File

ETHICS COMPLIANCE

The Contractor and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State, as set forth in Indiana code § 4-2-6 et seq., the regulations promulgated thereunder, and Executive Order 04-08, dated April 27, 2004. If the Contractor is not familiar with these ethical requirements, the Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Indiana State Ethics Commission website at <<<<http://www.in.gov/ethics/>>>>. If the Contractor or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this contract immediately upon notice to the Contractor. In addition, the contractor may be subject to penalties under Indiana Code § 4-2-6-12.

THE UNDERSIGNED AFFIRM, UNDER PENALTIES OF PERJURY, THAT HE OR SHE IS AUTHORIZED TO EXECUTE THIS CERTIFICATION ON BEHALF OF THE DESIGNATED ORGANIZATION.

Printed Name of Organization

Contract/Grant ID Number

Signature of Authorized Representative

Date

Printed Name and Title